



Symmetry breaking bifurcations in the NLS equation with an asymmetric delta potential

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Abstract We consider the NLS equation with a linear double-well potential. Symmetry breaking, i.e. the localisation of an order parameter in one of the potential wells that can occur when the system is symmetric, has been studied extensively. However, when the wells are asymmetric, only a few analytical works have been reported. Using double Dirac delta potentials, we study rigorously the effect of such asymmetry on the bifurcation type. We show that the standard pitchfork bifurcation becomes broken, i.e. unfolded, and instead a saddle-centre type is obtained. Using a geometrical approach, we also establish the instability of the corresponding solutions along each branch in the bifurcation diagram.

Keywords Nonlinear Schrödinger equation · Symmetry breaking · Asymmetric delta potential · Bifurcation

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1 Introduction

Symmetry breaking where an order parameter becomes localised in one of symmetric potential wells appears as a ubiquitous and important phenomenon in a wide range of physical systems, such as in particle physics [1], Bose–Einstein condensates [2,3], metamaterials [4], spatiotemporal complexity in lasers [5], photorefractive media [6], biological slime moulds [7], coupled semiconductor lasers [8] and in nanolasers [9]. When such a bifurcation occurs, the ground state of the physical system that normally has the same symmetry as the external potential becomes asymmetric with the wave function concentrated in one of the potential wells.

A commonly studied fundamental model in the class of conservative systems is the nonlinear Schrödinger (NLS) equation. It was likely first considered in [10] as a model for a pair of quantum particles with an isotropic interaction potential, where the ground state was shown to experience a broken rotational symmetry above a certain threshold value of atomic masses. Later works on symmetry breaking in the NLS equation with double-well potentials include among others [11–15]. At the bifurcation point, stable asymmetric solutions emerge in a pitchfork type, while the symmetric one that used to be the ground state prior to the bifurcation becomes unstable.

While previous works only consider symmetric double-well potentials, an interesting result was presented in [16], where it was shown how an asymmet-

ric double-well potential is different from a symmetric one. The work is based on a two-mode expansion and numerical simulations. It was demonstrated that, contrary to the case of symmetric potentials where symmetry breaking follows a pitchfork bifurcation, in asymmetric double wells the bifurcation is of the saddle-centre type. In this paper, we consider the NLS on the real line with an asymmetric double Dirac delta potential and study the effect of the asymmetry in the bifurcation. However, different from [16], our present work (published as preprint in [17]) provides a rigorous analysis on the bifurcation as well as the linear stability of the corresponding solutions using a geometrical approach, following [13] on the symmetric potential case (see also [18–20] for the approach).

Since the system is autonomous except at the defects, we can analyse the existence of the standing waves using phase plane analysis. We convert the second-order differential equation into a pair of first-order differential equations with matching conditions at the defects. In the phase plane, the solution which we are looking for will evolve first in the unstable manifold of the origin, and at the first defect, it will jump to the transient orbit, and again evolves until the second defect, and then jumps to the stable manifold to flow back to the origin. We also present the analytical solutions that are piecewise continuous functions in terms of hyperbolic secant and Jacobi elliptic function. We analyse their instability using geometric analysis for the solution curve in the phase portrait.

The paper is organised as follows: In Sect. 2, we present the mathematical model and set up the phase plane framework to search for the standing wave. In Sect. 3, we present the linear states of the system. In Sect. 4, we discuss the geometric analysis for the existence of the nonlinear bound states and show that there is a symmetry breaking of the ground states. Then, the stability of the states obtained is analysed in Sect. 5, where we show the condition for the stability in terms of the threshold value of 'time' for the standing wave evolving between two defects. In Sect. 6, we present our numerics to illustrate the results reported previously. Finally, we summarise the work in Sect. 7.

2 Mathematical model

We consider the one-dimensional NLS equation

$$i\psi_t = -\psi_{xx} + \omega\psi - |\psi|^2\psi + V(x)\psi, \quad (1)$$

where $\psi \in \mathbb{C}$ is a complex-valued function of the real variables t and x and ω is a positive constant. The asymmetric double-well potential $V(x)$ is defined as

$$V(x) = -\delta(x + L) - \epsilon\delta(x - L), \quad (2)$$

where δ is the Dirac delta function, $0 < \epsilon \leq 1$ is the asymmetry parameter, and $L > 0$ denotes locations of the inhomogeneity. We consider solutions which decay to 0 as $x \rightarrow \pm\infty$. The system conserves the squared norm $N = \int_{-\infty}^{\infty} |\psi(x, t)|^2 dx$ which is known as the optical power in the nonlinear optics context, or the number of atoms in Bose–Einstein condensates.

Standing waves of (1), i.e. $\psi = u$ that are now real-valued functions, satisfy

$$u_{xx} - \omega u + u^3 - V(x)u = 0. \quad (3)$$

The stationary equation (3) is equivalent to system $u_{xx} = \omega u - u^3$ for $x \neq \pm L$ with matching conditions:

$$\begin{aligned} u(\pm L^+) &= u(\pm L^-), \\ u_x(\pm L^+) - u_x(\pm L^-) &= -\tilde{V}_{\pm}u(\pm L), \end{aligned} \quad (4)$$

with $\tilde{V}_- = 1$ and $\tilde{V}_+ = \epsilon$. We denote the value of a function f when x approaches L from the right and the left by $f(L^+)$ and $f(L^-)$, respectively.

Our aim is to study the ground states of (1), which are localised solutions of (3) and determine their stability. We will apply a dynamical systems approach by analysing the solutions in the phase plane. However, before proceeding with the nonlinear bound states, we will present the linear states of the system in the following section.

3 Linear states

In the limit $u \rightarrow 0$, Eq. (3) is reduced to the linear system

$$u_{xx} - \omega u - V(x)u = 0, \quad (5)$$

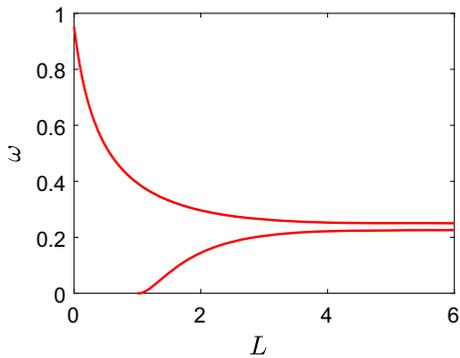


Fig. 1 The eigenvalues ω as a function of L from (7) for $\epsilon = 0.95$. The upper curve is ω_0

which is equivalent to the system $u_{xx} = \omega u$ for $x \neq \pm L$ with the matching conditions (4).

The general solution of (5) is given by

$$u(x) = \begin{cases} e^{\sqrt{\omega}(x+L)}, & x < -L, \\ Ae^{-\sqrt{\omega}(x+L)} + Be^{\sqrt{\omega}(x+L)}, & -L < x < L, \\ Ce^{-\sqrt{\omega}(x-L)}, & x > L. \end{cases} \tag{6}$$

Using the matching conditions, the function (6) will be a solution of the linear system when $A = 1 - 1/2\sqrt{\omega}$, $B = 1/2\sqrt{\omega}$, and $C = (e^{-2L\sqrt{\omega}}(2\sqrt{\omega}e^{4L\sqrt{\omega}} - e^{4L\sqrt{\omega}} + 1))/2\sqrt{\omega}$, and ω satisfies the transcendental relation

$$L = \frac{1}{4\sqrt{\omega}} \ln \left(-\frac{\epsilon}{(2\sqrt{\omega} - 1)(\epsilon - 2\sqrt{\omega})} \right). \tag{7}$$

This equation determines two bifurcation points of the linear states ω_0 and ω_1 . We obtain that the eigenfunction with eigenvalue ω_0 exists for any L , while the other one only for $L \geq (1 + \epsilon)/2\epsilon$. As $L \rightarrow \infty$, $\omega_0 \rightarrow 1/4$ and $\omega_1 \rightarrow \epsilon^2/4$. We illustrate Eq. (7) in Fig. 1. Positive solutions that are non-trivial ground state of the system will bifurcate from ω_0 , while from ω_1 , we should obtain a bifurcation of 'twisted' mode which is not addressed in the present work.

4 Nonlinear bound states

To study nonlinear standing waves (bound states), we convert the second-order differential equation (3) into

the following first-order equations, for $x \neq \pm L$,

$$\begin{aligned} u_x &= y, \\ y_x &= \omega u - u^3, \end{aligned} \tag{8}$$

with the matching conditions

$$\begin{aligned} u(\pm L^+) &= u(\pm L^-), \\ y(\pm L^+) - y(\pm L^-) &= -\tilde{V}_{\pm} u(\pm L). \end{aligned} \tag{9}$$

We consider only solutions where $u > 0$. The evolution away from the defects is determined by the autonomous system (8), and at each defect, there is a jump according to the matching conditions (9).

4.1 Phase plane analysis

System (8) has equilibrium solutions $(0, 0)$ and $(\sqrt{\omega}, 0)$, and the trajectories in the phase plane are given by

$$y^2 - \omega u^2 + \frac{1}{2}u^4 = E. \tag{10}$$

In the following, we will discuss how to obtain bound states of (1) which decay at infinity. In the phase plane, a prospective standing wave must begin along the global unstable manifold W^u of $(0, 0)$ because it must decay as $x \rightarrow -\infty$. The unstable manifold W^u is given by

$$W^u = \left\{ (u, y) \mid y = \sqrt{\omega u^2 - \frac{1}{2}u^4}, 0 \leq u \leq \sqrt{2\omega} \right\}.$$

The potential (2) will imply two defects in the solutions. After some time evolving in the unstable manifold (in the first quadrant), the solution will jump vertically at the first defect at $x = -L$ according to matching condition (9). For a particular value of ω , the landing curve for the first jump follows

$$J(W^u) = \left\{ (u, y) \mid y = \sqrt{\omega u^2 - \frac{1}{2}u^4 - u} \right\}.$$

At the first defect, the solution will jump from the homoclinic orbit to an inner orbit as the transient orbit. Let the value of E for the orbit be $\hat{E} \in (-\frac{1}{2}\omega^2, 0)$. If we denote the maximum of u of the inner orbit as a , then the value for a in this orbit is

$$\hat{a} = \sqrt{\omega + \sqrt{\omega^2 + 2\hat{E}}}.$$

Denote the value of the solution at the first defect as u_1 , and then, it satisfies

$$u_1^2 - 2u_1\sqrt{\omega u_1^2 - \frac{1}{2}u_1^4} = \hat{E}, \tag{11}$$

which can be rewritten as a cubic polynomial in u_1^2 :

$$(u_1^2)^3 + \left(\frac{1}{2} - 2\omega\right)(u_1^2)^2 - \hat{E}u_1^2 + \frac{\hat{E}^2}{2} = 0.$$

Using Cardan’s method [21] to solve the polynomial, we obtain u_1 as function of \hat{E} , i.e. for $\omega < 1/4$, there is no real solution, while for $\omega > 1/4$, there are two real-valued u_1 given by

$$\begin{aligned} u_1^{(1)} &= \sqrt{\frac{1}{3}\left(2\omega - \frac{1}{2}\right) + \frac{2}{3}\sqrt{3\hat{E} + \left(\frac{1}{2} - 2\omega\right)^2} \cos\theta}, \\ u_1^{(2)} &= \sqrt{\frac{1}{3}\left(2\omega - \frac{1}{2}\right) - \frac{2}{3}\sqrt{3\hat{E} + \left(\frac{1}{2} - 2\omega\right)^2} \sin\left(\frac{\pi}{6} - \theta\right)}, \end{aligned} \tag{12}$$

where

$$\theta = \frac{1}{3} \cos^{-1} \left(\frac{-54\hat{E}^2 + 18\hat{E}(4\omega - 1) + (4\omega - 1)^3}{(12\hat{E} + (1 - 4\omega)^2)^{3/2}} \right).$$

For a given $\omega > 1/4$, the landing curve of the first jump is tangent to the transient orbit, i.e. $u_1^{(1)} = u_1^{(2)}$, for $\hat{E} = \bar{E}_1$, with

$$\bar{E}_1 = \frac{1}{27} \left(36\omega - \sqrt{(12\omega + 1)^3 - 1} \right).$$

After completing the first jump, the solution will then evolve for ‘time’ $2L$ according to system (8). The ‘time’ $2L$ is the length of the independent variable x that is needed for a solution to flow from the first defect until it reaches the second defect and it will satisfy

$$2L = \int_{u_1}^{u_2} \frac{1}{\pm\sqrt{\omega u^2 - u^4/2 + \hat{E}}} du, \tag{13}$$

where $2L = L_1 + L_2$, with L_1 being the time from $x = -L$ to $x = 0$ and L_2 the time from $x = 0$ to $x = L$. For $\epsilon = 1$, $L_1 = L_2 = L$. The result of the integration of the right-hand side of (13) will be in terms of the elliptic integral of the first kind.

When the solution approaches $x = L$, the solution again jumps vertically in the phase plane according to

the matching condition (9). The set of points that jump to the stable manifold is

$$J^{-1}(W^s) = \left\{ (u, y) | y = - \left(\sqrt{\omega u^2 - \frac{1}{2}u^4} - \epsilon u \right) \right\}. \tag{14}$$

Let u_2 be the value of the solution at the second defect. The matching condition (9) gives

$$\epsilon^2 u_2^2 - 2\epsilon u_2 \sqrt{\omega u_2^2 - \frac{1}{2}u_2^4} = \hat{E}, \tag{15}$$

which can also be rewritten as a cubic polynomial in u_2^2 :

$$u_2^6 + \left(\frac{\epsilon^2}{2} - 2\omega\right)u_2^4 - \hat{E}u_2^2 + \frac{\hat{E}^2}{2\epsilon^2} = 0. \tag{16}$$

Using a similar argument, the solution exists only for $\omega > \epsilon^2/4$, where in that case the solutions are given by

$$\begin{aligned} u_2^{(1)} &= \sqrt{\frac{1}{3}\left(2\omega - \frac{\epsilon^2}{2}\right) + \frac{1}{3}\sqrt{12\hat{E} + (\epsilon^2 - 4\omega)^2} \cos\theta}, \\ u_2^{(2)} &= \sqrt{\frac{1}{3}\left(2\omega - \frac{\epsilon^2}{2}\right) - \frac{1}{3}\sqrt{12\hat{E} + (\epsilon^2 - 4\omega)^2} \sin\left(\frac{\pi}{6} - \theta\right)}, \end{aligned} \tag{17}$$

with

$$\theta = \frac{1}{3} \cos^{-1} \left(-\frac{54\hat{E}^2 + 18\hat{E}(\epsilon^4 - 4\omega\epsilon^2) + \epsilon^2(\epsilon^2 - 4\omega)^3}{\epsilon^2(12\hat{E} + (\epsilon^2 - 4\omega)^2)^{3/2}} \right).$$

Similar to the case of the first jump, the landing curve of the second jump is tangent to the transient orbit, i.e. $u_2^{(1)} = u_2^{(2)}$, for $\hat{E} = \bar{E}_2$, with

$$\bar{E}_2 = \frac{1}{27} \left(36\epsilon^2\omega - \sqrt{\epsilon^2(12\omega + \epsilon^2)^3 - \epsilon^4} \right).$$

For a given value of \bar{E}_1 and \bar{E}_2 , they correspond to certain values of L , say \bar{L}_1 and \bar{L}_2 . These values will be used in determining the stability of the solution which will be discussed later in Sect. 5. For fixed L and ϵ , we can obtain \hat{E} upon substitution of (12) and (17) to (13) as function of ω , and therefore, we can obtain positive-valued bound states for varying ω .

We present in Figs. 2 and 3 nonlinear bound states of our system for $L = 1$ for $\epsilon = 1$ and $\epsilon = 0.95$, respectively. We show the solution profiles in the physical

space and in the phase plane on the left and right panels, respectively. We also calculate squared norms N of the solutions for varying ω . We plot them in Fig. 4. The solid and dashed lines represent the stable and unstable solutions, respectively, which will be discussed in Sect. 5.

As mentioned at the end of Sect. 3, indeed standing waves of positive solutions bifurcate from the linear mode ω_0 . For $\epsilon = 1$, as ω increases, there is a threshold value of the parameter where a pitchfork bifurcation appears. This is a symmetry breaking bifurcation. Beyond the critical value, we have two types of standing waves, i.e. symmetric and asymmetric states. There are two asymmetric solutions that mirror each other.

When we consider $\epsilon = 0.95$, it is interesting to note that the pitchfork bifurcation becomes broken, i.e. unfolded. The branch of asymmetric solutions splits into two branches and that of symmetric ones breaks into two parts. The upper part of the symmetric branch gets connected to one of the asymmetric branches through a turning point.

Using our phase plane analysis, we can determine the critical value of ω where the bifurcation occurs. The critical value ω_c as function of \hat{E} can be determined implicitly from the condition when the two roots of u_1 (12) merge, i.e.

$$\hat{E} = \frac{1}{27} \left(36\epsilon^2\omega - \sqrt{\epsilon^2(12\omega + \epsilon^2)^3 - \epsilon^4} \right). \quad (18)$$

Substituting this expression into the integral equation (13), we can solve it numerically to give us the critical ω for fixed L and ϵ . For $\epsilon = 1$, we obtain that $\omega_c \approx 0.8186$, and for $\epsilon = 0.95$, we have $\omega_c \approx 0.945$ which agree with the plot in Fig. 4.

4.2 Explicit expression of solutions

The solutions we plotted in Figs. 2 and 3 can also be expressed explicitly as piecewise continuous functions in terms of the Jacobi elliptic function, $\text{dn}(rx, k)$. The autonomous system (8) has solution

$$h(x) = a \text{dn}(rx, k)$$

with $r(a, \omega) = \frac{a}{\sqrt{2}}$ and $k(a, \omega) = \frac{2(a^2 - \omega)}{a^2}$ (for details, see [22]). Note that for $a = \sqrt{2\omega}$, we have the homoclinic orbit $h(x) = \sqrt{2\omega} \text{sech}(\sqrt{\omega}x)$. Therefore, an analytical solution of (3) is

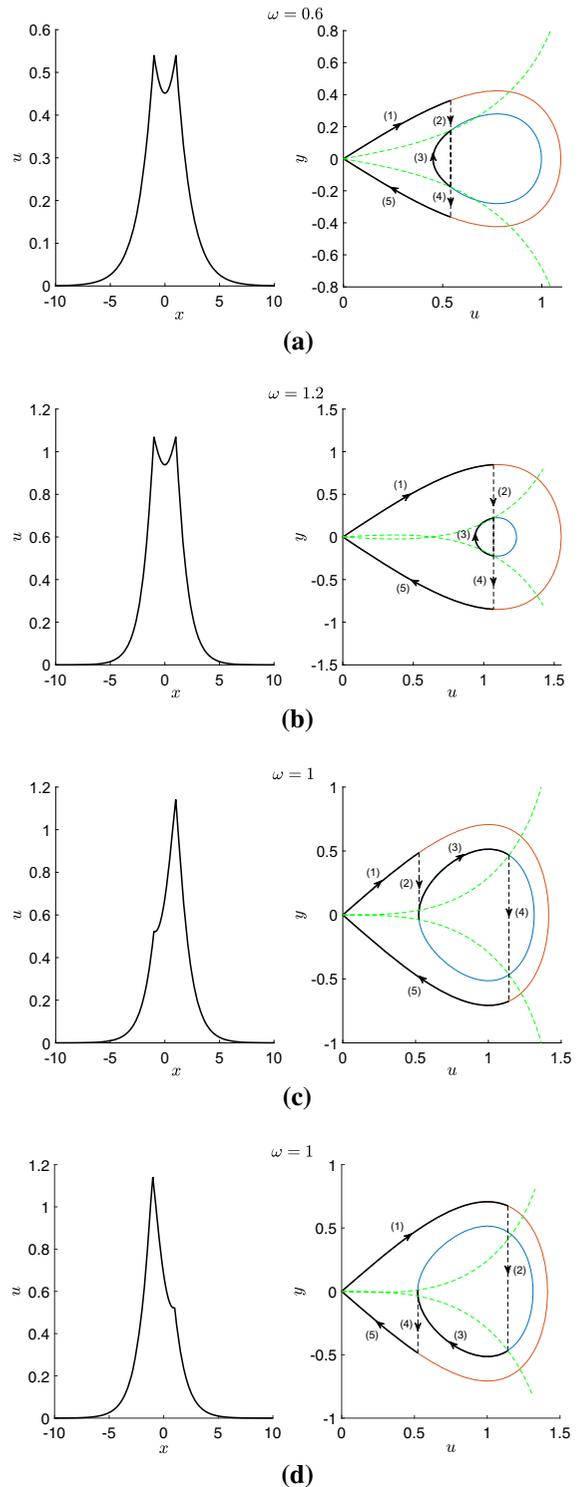


Fig. 2 Localised standing waves of the system for $L = \epsilon = 1$ with various values of ω with u_1 and u_2 given by **a** $u_1^{(2)}$ and $u_2^{(2)}$, **b** $u_1^{(1)}$ and $u_2^{(1)}$, **c** $u_1^{(2)}$ and $u_2^{(2)}$, **d** $u_1^{(1)}$ and $u_2^{(2)}$, respectively

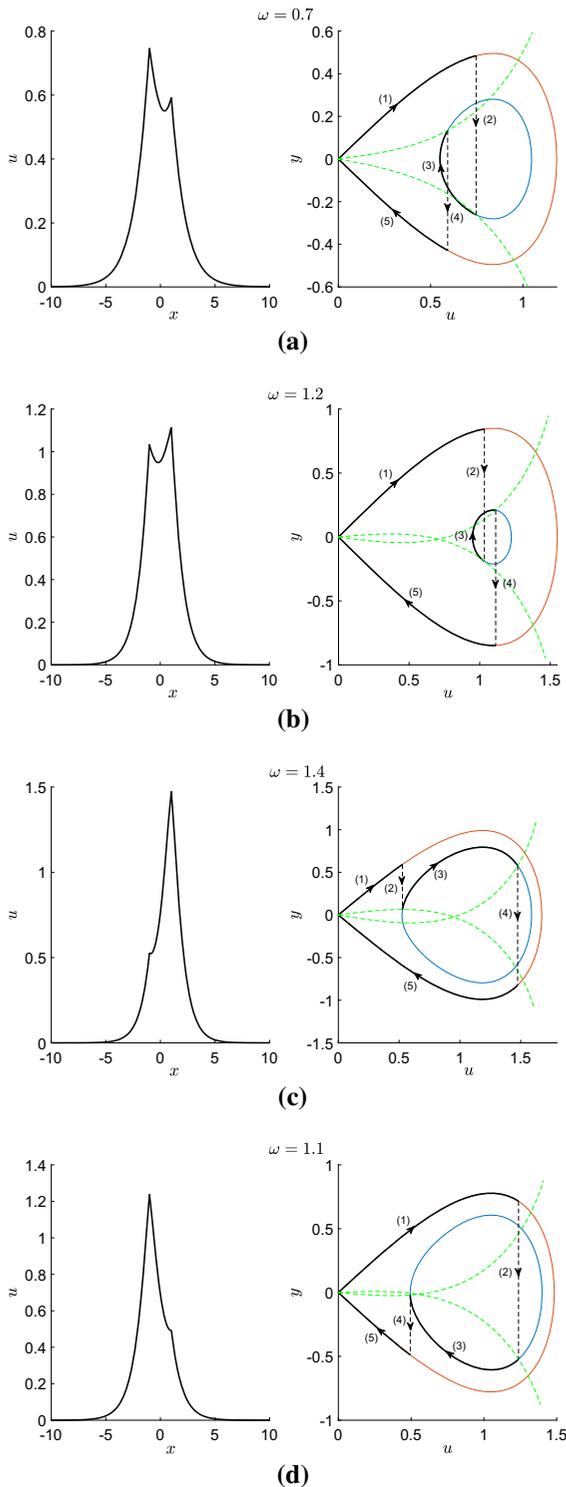


Fig. 3 The same as Fig. 2, but for $\epsilon = 0.95$ with u_1 and u_2 given by **a** $u_1^{(1)}$ and $u_2^{(2)}$, **b** $u_1^{(1)}$ and $u_2^{(1)}$, **c** $u_1^{(2)}$ and $u_2^{(2)}$, **d** $u_1^{(1)}$ and $u_2^{(2)}$, respectively

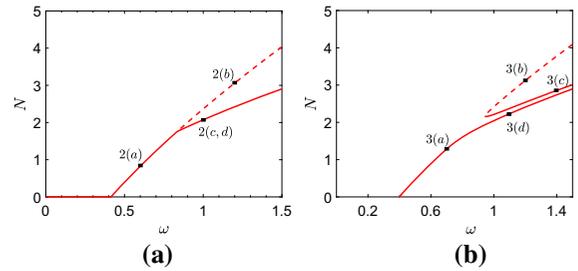


Fig. 4 Bifurcation diagrams of the standing waves. Plotted are the squared norms as a function of ω for $L = 1$, and **a** $\epsilon = 1$, **b** $\epsilon = 0.95$

$$u(x) = \begin{cases} \sqrt{2\omega} \operatorname{sech}(\sqrt{\omega}(x + \xi_1)), & \text{for } x < -L, \\ a \operatorname{dn}(r(x + \xi_2), k), & \text{for } -L < x < L, \\ \sqrt{2\omega} \operatorname{sech}(\sqrt{\omega}(x + \xi_3)), & \text{for } x > L, \end{cases} \tag{19}$$

where the constants ξ_1 , ξ_2 , and ξ_3 can be obtained from

$$\begin{aligned} \xi_1 &= \frac{1}{\sqrt{\omega}} \operatorname{sech}^{-1}\left(\frac{u_1}{\sqrt{2\omega}}\right) + L, \\ \xi_2 &= \frac{1}{r} \operatorname{dn}^{-1}\left(\frac{u_1}{a}, k\right) + L, \\ \xi_3 &= \frac{1}{\sqrt{\omega}} \operatorname{sech}^{-1}\left(\frac{u_2}{\sqrt{2\omega}}\right) - L. \end{aligned} \tag{20}$$

The values of u_1 and u_2 are the same as those discussed in Sect. 4.1 above. Note that the Jacobi elliptic function is doubly periodic. We therefore need to choose the constants ξ_2 carefully such that the solution satisfies the boundary conditions (4).

5 Stability

After we obtain standing waves, we will now discuss their stability by solving the corresponding linear eigenvalue problem. We linearise (1) about a standing wave solution $\tilde{u}(x)$ that has been obtained previously using the linearisation ansatz $\psi = \tilde{u} + \delta(pe^{\lambda t} + q^*e^{\lambda^* t})$, with $\delta \ll 1$. Considering terms linear in δ leads to the eigenvalue problem

$$\lambda \begin{pmatrix} p \\ q \end{pmatrix} = \begin{pmatrix} 0 & -\mathcal{L}_- \\ \mathcal{L}_+ & 0 \end{pmatrix} \begin{pmatrix} p \\ q \end{pmatrix} = \mathcal{L} \begin{pmatrix} p \\ q \end{pmatrix} \tag{21}$$

where

$$\mathcal{L}_- = \frac{d^2}{dx^2} - \omega + \tilde{u}^2 - V(x),$$

$$\mathcal{L}_+ = \frac{d^2}{dx^2} - \omega + 3\tilde{u}^2 - V(x).$$

A solution is unstable when $\text{Re}(\lambda) > 0$ for some λ and is linearly stable otherwise.

We will use dynamical systems methods and geometric analysis of the phase plane of (19) to determine the stability of the standing waves. Let P be the number of positive eigenvalues of \mathcal{L}_+ and Q be the number of positive eigenvalues of \mathcal{L}_- , then we have the following theorem [18].

Theorem 1 *If $P - Q \neq 0, 1$, there is a real positive eigenvalue of the operator \mathcal{L} .*

The quantities P and Q can be determined by considering solutions of $\mathcal{L}_+p = 0$ and $\mathcal{L}_-q = 0$, respectively, and using Sturm–Liouville theory. The system $\mathcal{L}_-q = 0$ is satisfied by the standing wave $u(x)$, and Q is the number of zeros of standing wave $u(x)$. Since we only consider positive solutions, $Q = 0$. By Theorem 1, to prove that the standing wave is unstable, we only need to prove that $P \geq 2$. The operator \mathcal{L}_+ acts as the variational equation of (8). As such, P is the number of zeros of a solution to the variational equation along $u(x)$ which is ‘initially’ (i.e. at $x = -\infty$) tangent to the orbit of $u(x)$ in the phase plane. Thus, P can be interpreted as the number of times initial tangent vector at the origin crosses the vertical as it is evolved under the variational flow.

Let $\mathbf{p}(u, y)$ be a tangent vector to the outer orbit of the solution at point (u, y) in the phase portrait, and let $\mathbf{q}(u, y)$ be a tangent vector to inner orbit at the point (u, y) . That is

$$\mathbf{p} = \begin{pmatrix} y \\ \omega u - u^3 \end{pmatrix} = \begin{pmatrix} \pm \sqrt{\omega u^2 - \frac{1}{2}u^4} \\ \omega u - u^3 \end{pmatrix},$$

and

$$\mathbf{q} = \begin{pmatrix} y \\ \omega u - u^3 \end{pmatrix} = \begin{pmatrix} \pm \sqrt{\omega u^2 - \frac{1}{2}u^4 + \hat{E}} \\ \omega u - u^3 \end{pmatrix}.$$

Let F denote the flow, so $F(\mathbf{p})$ is the image of \mathbf{p} under the flow (together with the matching conditions at the defects). We count the number of times the tangent vector initialised at the origin, say $\mathbf{b}(u, y)$, crosses the vertical as its base point moves along the orbit as x

increases. Since the variational flow preserves the orientation of the tangent vector [19], we will use each of the corresponding tangent vectors as the bound of the solution as it evolves after the vector \mathbf{b} is no longer tangent to the orbit due to the defects. We will break the orbit into five regions. Let A_1, A_2, A_3 , and A_4 denote the point $(u_1, y_1), (u_1, y_1 - u_1), (u_2, \epsilon u_2 + y_2)$, and (u_2, y_2) , respectively, with $y_1 = \sqrt{\omega u_1 - \frac{1}{2}u_1^4}$ and $y_2 = -\sqrt{\omega u_2 - \frac{1}{2}u_2^4}$. The first region is for $x < -L$. On the phase plane, it starts from the origin until point A_1 . The second region is when $x = -L$, i.e. when the solution jumps the first time from A_1 to A_2 . The third region is when $-L < x < L$ where the differential equation (8) takes the tangent vector from A_2 to point A_3 . The fourth region is when $x = L$, where the solution jumps for the second time, it jumps from A_3 to A_4 , and last region is for $x > L$ where the vector will be brought back to the origin.

Let $n_i, i = 1, 2, \dots, 5$, denote the number of times \mathbf{b} passes through the verticality in the i th region. In the following, we will count n_i in each region. The tangent vector solves the variational flow

$$\begin{aligned} q_{1,x} &= q_2, \\ q_{2,x} &= q_1 - 3\tilde{u}^2 q_1, \end{aligned} \tag{22}$$

where \tilde{u} is the stationary solution.

5.1 When $x < -L$

At the first region, we will count n_1 . It is the region when \mathbf{b} starts from the origin and moves along the homoclinic orbit until it reaches the first defect at A_1 . At this region, the direction of \mathbf{b} at (u, y) is

$$\tan \theta = \frac{\omega u - u^3}{y} = \frac{\omega - u^2}{\sqrt{1 - \frac{1}{2}u^2}}.$$

The sign of $\tan \theta$ depends on the sign of $\omega - u^2$. For $u < \sqrt{\omega}$, $\tan \theta > 0$, and for $u > \sqrt{\omega}$, $\tan \theta < 0$. Since $y > 0$, \mathbf{b} points up right in the first quadrant of the plane for the first case, and it points down right in the fourth quadrant for the latter. Therefore, for both cases, the angle must be acute, $0 < |\theta| < \frac{\pi}{2}$. In this part, $n_1 = 0$. In what follows, we will refer to θ as *the angle of \mathbf{b}* .

5.2 When $x = -L$

Next, we will count how many times \mathbf{b} passes through the vertical when it jumps from A_1 to A_2 . The vector \mathbf{b} at A_2 is

$$\mathbf{b}(A_2) = \begin{pmatrix} y_1 \\ \omega u_1 - u_1^3 - y_1 \end{pmatrix}$$

and its direction is $\tan \theta_2 = \tan \theta_1 - 1$ with θ_i the direction of \mathbf{b} in region i . This implies that at the first defect, the vector \mathbf{b} jumps through a smaller angle and larger angle for $L_1 < \bar{L}_1$ and $L_1 > \bar{L}_1$, respectively. After the jump, \mathbf{b} is tangent to the landing curve $J(W^u)$ but no longer tangent to the orbit of the solution. For $L_1 = \bar{L}_1$, after the jump \mathbf{b} will be tangent to the transient orbit but in opposite direction. For all cases, \mathbf{b} does not pass through the vertical. So, up to this stage, $P = n_1 + n_2 = 0$.

5.3 When $-L < x < L$

Vector $\mathbf{b}(A_2)$ is now flowed by equation (8) to point $\mathbf{b}(A_3)$. The variational flow (22) will preserve the orientation of vector \mathbf{b} with respect to the tangent vector of the inner orbit, so \mathbf{q} gives a bound for \mathbf{b} as it evolves. After the first jumping, vector \mathbf{b} points towards into the centre (or the concave side) of the inner orbit for $L_1 < \bar{L}_1$, and it will point down and out the inner orbit for $L_1 > \bar{L}_1$. On the other hand, for $L_1 = \bar{L}_1$, the landing curve $J(W^u)$ is tangent to the inner orbit to which \mathbf{b} jumps, so \mathbf{b} is still tangent to the orbit but now pointing backwards. Comparing vector \mathbf{b} with the vector $\mathbf{q}(A_3)$, then up to this point $n_3 = 0$ or 1.

5.4 When $x = L$

In this region, we will count how many times \mathbf{b} crosses the vertical when it jumps from A_3 to A_4 , i.e. when $\mathbf{b}(A_3)$ is mapped to $\mathbf{b}(A_4)$. In this region, the tangent vector at A_3 , $\mathbf{q}(A_3)$ will be mapped to $F(\mathbf{q}(A_3))$ which has smaller angle, and the jump does not give any additional crossing of the verticality, and therefore, $n_4 = 0$

5.5 When $x > L$

In this region, the base point of the vector \mathbf{b} will be carried under the flow to the origin. We will determine

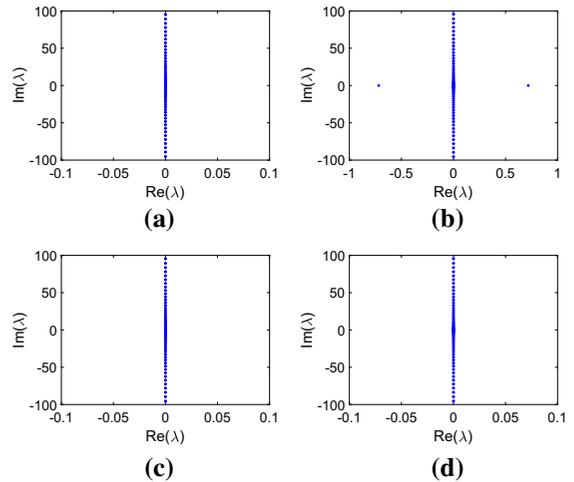


Fig. 5 Spectrum in the complex plane of the solutions in Fig. 2 in the same order. Panels (c) and (d) are exactly identical because the solutions are mirror symmetric to each other

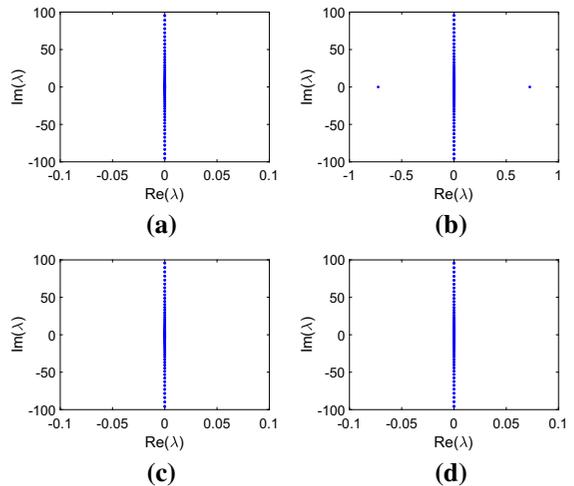


Fig. 6 The same as Fig. 5, but for the solitons in Fig. 3

whether the landing curve $J^{-1}(W^s)$, intersecting the inner orbit, yields an additional vertical crossing or not. First, we look at the case $L_1 < \bar{L}_1$. Here, at the second defect the vector \mathbf{b} still points to the transient orbit.

We can see that \mathbf{b} is lower than the vector that is taken to the curve W^s which is tangent to $J^{-1}(W^s)$ (i.e. \mathbf{b} has a larger angle). Comparing these two vectors, in this region, there will be no additional crossing to the vertical.

Now, for the case $L_1 \geq \bar{L}_1$. If $L_2 > \bar{L}_2$ at the second defect, the vector \mathbf{b} is pointing out from the transient orbit relative to the vector that is tangent to

$J^{-1}(W^s)$. So \mathbf{b} has a smaller angle. After the jump, the flow pushes it across the vertical, so in this case $P \geq 2$. If $L_2 \leq \bar{L}_2$, \mathbf{b} has a larger angle, and there are no additional crossings of the vertical.

To summarise, we have the following:

Theorem 2 *Positive definite homoclinic solutions of (3)–(4) with $L_1 < \bar{L}_1$ will have $P \leq 1$. If they have $L_1 \geq \bar{L}_1$, then there are two possible cases, i.e. either $L_2 < \bar{L}_2$ or $L_2 \geq \bar{L}_2$. The former case gives $P \leq 1$, while the latter yields $P \geq 2$.*

Using Theorem 1, the last case will give an unstable solution through a real eigenvalue. Solutions in Figs. 2a, c, and 3c correspond to $L_1 < \bar{L}_1$. Solutions in Figs. 2d and 3a and d correspond to $L_1 > \bar{L}_1$, but $L_2 < \bar{L}_2$. In those cases, we cannot determine their stability. Using numerics, our results in the next section show that they are stable. On the other hand, for the solutions in Fig. 2b and 3b, $L_1 > \bar{L}_1$ and at the same time $L_2 > \bar{L}_2$. Hence, they are unstable.

6 Numerical results

We solved Eqs. (3) and (4) as well as Eq. (21) numerically to study the localised standing waves and their stability. A central finite difference was used to approximate the Laplacian with a relatively fine discretisation. Here, we present the spectrum of the solutions from 5 obtained from solving the eigenvalue problem numerically.

We plot the spectrum of solutions in Figs. 2 and 3 in Figs. 5 and 6, respectively. We confirm the result of Sect. 5 that solutions plotted in panel (b) of Figs. 2 and 3 are unstable. The instability is due to the presence of a pair of real eigenvalues.

When a solution is unstable, it is interesting to see its typical dynamics. To do so, we solve the governing equation (1) numerically where the Dirac delta potential is incorporated through the boundaries. While the spatial discretisation is still the same as before, the time derivative is integrated using the classic fourth-order Runge–Kutta method.

In Fig. 7, we plot time dynamic of the unstable solution shown in panel (b) of Fig. 3. The time evolution is typical where the instability manifests in the form of periodic oscillations. The norm tends to be localised in one of the wells, which is one of the characteristics of

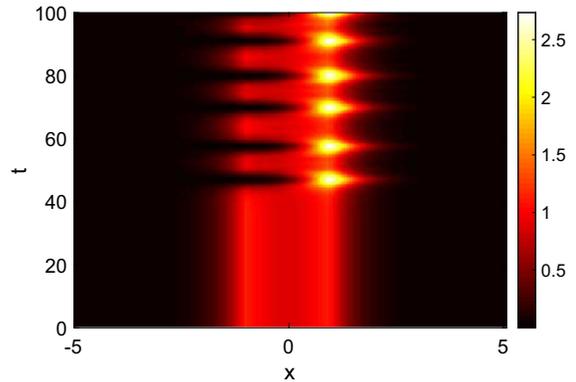


Fig. 7 Time dynamics of the unstable solution in Fig. 3b. Plotted is the squared magnitude $|\psi|^2$. Initially the standing wave is perturbed randomly

the presence of symmetry breaking solutions [6, 12, 14–16].

7 Conclusion

In this paper, we have considered broken symmetry breaking bifurcations, i.e. unfolded pitchfork bifurcations, in the NLS on the real line with an asymmetric double Dirac delta potential. By using a dynamical system approach, we presented the ground state solutions in the phase plane and their explicit expressions. We have shown that different from the symmetric case where the bifurcation is of a pitchfork type, when the potential is asymmetric, the bifurcation is of a saddle-centre type. The linear instability of the corresponding solutions has been derived as well using a geometrical approach developed by Jones [18]. Numerical computations have been presented illustrating the analytical results and simulations showing the typical dynamics of unstable solutions have also been discussed.

We remark that the problem considered herein can also be approached using variational techniques, where ground states and the stability properties of static solutions are investigated by finding minimisers of some functionals (see, e.g., [23]). To our knowledge, this has not been done and is a natural extension of the work.

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Author contributions The authors contributed equally to the manuscript.

Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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